



ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರ

ಅಧಿಕೃತವಾಗಿ ಪ್ರಕಟಿಸಲಾದುದು

ಸಂಪುಟ ೧೫೧ Volume 151	ಬೆಂಗಳೂರು, ಗುರುವಾರ, ಸೆಪ್ಟೆಂಬರ್ ೨೨, ೨೦೧೬ (ಭಾದ್ರಪದ ೩೧, ಶಕ ವರ್ಷ ೧೯೩೮) Bengaluru, Thursday, September 22, 2016 (Bhadrapada 31, Shaka Varsha 1938)	ಸಂಚಿಕೆ ೩೮ Issue 38
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ಭಾಗ ೪ಎ

ರಾಜ್ಯದ ವಿಧೇಯಕಗಳ ಮತ್ತು ಅವುಗಳ ಮೇಲೆ ಪರಿಶೀಲನಾ ಸಮಿತಿಯ ವರದಿಗಳು, ರಾಜ್ಯದ ಅಧಿನಿಯಮಗಳು ಮತ್ತು ಆಧ್ಯಾದೇಶಗಳು, ಕೇಂದ್ರದ ಮತ್ತು ರಾಜ್ಯದ ಶಾಸನಗಳ ಮೇರೆಗೆ ರಾಜ್ಯ ಸರ್ಕಾರವು ಹೊರಡಿಸಿದ ಸಾಮಾನ್ಯ ಶಾಸನಬದ್ಧ ನಿಯಮಗಳು ಮತ್ತು ರಾಜ್ಯಾಂಗದ ಮೇರೆಗೆ ರಾಜ್ಯಪಾಲರು ಮಾಡಿದ ನಿಯಮಗಳು ಹಾಗೂ ಕರ್ನಾಟಕ ಉಚ್ಚ ನ್ಯಾಯಾಲಯವು ಮಾಡಿದ ನಿಯಮಗಳು

LABOUR SECRETARIAT

NOTIFICATION

NO. LD 31 KABASE 2015(Part-1)/1, BENGALURU, DATED: 30/08/2016.

Sub: Classification of Industries to streamline and simplify the inspection system.

Government of Karnataka are pleased to introduce "Classification of Industries to streamline and simplify the Inspection System" by issuing this Draft Notification, inviting objections and suggestions from the persons likely to be affected thereby within 45 days from the date of publication of this Draft Notification in the official Gazette. Objections if any, shall be submitted to The Additional Chief Secretary to Government, Labour Department, Room No:414, 4th Floor, Vikasa Soudha, Bengaluru-560 001.

The Department has taken many measures to inform the Inspection system under all Labour laws being enforced. Inspection system is a mechanism to ensure the statutory safety, health, welfare and other facilities to the working community. An inspector is appointed by the competent authority through delegation of powers by Government Notification under Factories Act. The notified inspector inspects a factory exercising the delegated powers in order to ensure statutory provisions. It is observed that all categories of industries do not require same frequency of inspections. Several industries are voluntarily complying with the provisions of various labour laws and some are complying with the intervention of the department. Hence the inspection requirement varies form industry to industry.

Recognising the voluntary compliance by certain industries, the Government intends to fix the frequency of inspections and classification of factories as listed below.

Sl. No.	Classification of the Factories	Frequency of Inspections
1.	Major Accident Hazards MAH installation as defined under Chemical Accident Rules, 1996	Twice in a year
2.	Hazardous Process Industries defined under Section 2 (cb) of Factories Act, 1948	Once in a year
3.	Factories involving dangerous processes & operation and using Dangerous machineries specified under Rule 129 and Rule 57 of the Karnataka Factories Rules, 1969.	
4.	Factories employing more than 100 women workers	
5.	Factories employing more than 500 workers	Once in two years
6.	Non hazardous factories employing 101 to 500 workers	

(೧೪೮೩)

Sl. No.	Classification of the Factories	Frequency of Inspections
7	Non hazardous factories employing below 100	Once in three years
8.	Non hazardous factories employing below 100 and with self certification	10% of the factories by random selection
9.	Non hazardous factories employing below 100 and with third party certification.	5% of the factories by random selection

All the inspections under Factories Act shall be carried out as per the table mentioned above.

By Order and in the name of the Governor of Karnataka,

G.M. SIDDARAJU,

Under Secretary to Government,
Labour Department,
(Factories and Boilers).

LABOUR SECRETARIAT

NOTIFICATION

NO. LD 31 KABASE 2015(Part-1)/2, BENGALURU, DATED: 30/08/2016.

The draft of the Karnataka Factories (Safety Audit) Rules, 2016 which the Government of Karnataka proposes to make in exercise of the powers conferred by Sections 112 and 115 read with Section 41 of the Factories Act, 1948 (Central Act 63 of 1948) and Clause (3) of Section 23 of the General Clauses Act, 1897 (Central Act 10 of 1897) is hereby published as required by sub Section (1) of Section 115 the said Act, for the information of all persons likely to be affected thereby and notice is hereby given that the said draft will be taken into consideration after forty five days from the date of its publication in the notification on the Official Gazette.

Any objection or suggestion which may be received by the State Government from any person in respect of the said draft before the expiry of the period specified above, will be considered by the State Government. Objections or suggestions may be addressed to the Additional Chief Secretary to Government, Labour Department, 4th floor, Vikasa Soudha, Bengaluru - 560 001.

DRAFT RULES

1. Title, commencement and application.- (1) These rules may be called the Karnataka Factories (Safety Audit) Rules, 2016.

(2) They shall come into force from the date of their publication in the Official Gazette,-

(3) They shall apply to the factories,-

- in which hazardous manufacturing processes which involves use, storage and handling of toxic, highly inflammable, explosives, hazardous chemicals where in such toxic or highly inflammable or explosive substances are likely to be generated or given out or carried out, as listed any chemical as defined in sub-rule (a)(b)(c)(d)(e) of rule 2 of The Major Accident Hazards Control (Karnataka) Rules, 1994; and
- non hazardous factories registered under Factories Act.
- in which hazardous manufacturing processes as listed in First Schedule referred to clause (c b) of section 2 of the Act.
- in which manufacturing processes as specified in rule 129 of Karnataka Factories Rules, 1969 read with section 87 of the Act.

2. Definitions.- (1) In these rules unless the context otherwise requires,-

- "Act" means the Factories Act, 1948 (Central Act 63 of 1948), as applicable to the State of Karnataka;
- "Chief Inspector" means any person who is appointed by the State Government as a Chief Inspector, under sub-section(2) of section 8 of the Act;
- "Degree" means the degree of a university established by law;
- "Diploma" means a diploma awarded by a statutory university or a recognized institution;
- "Form" means a form appended to these rules;
- "Government" or "the State Government" means the Government of Karnataka;
- "Safety audit" means a systematic, objective and document evaluation of the occupational safety and health systems and procedures in a factory;
- "Safety Auditor" means a person or Institution recognized by the State Government as per rule 5 to carry out safety audit in accordance with these rules and include the safety auditors mentioned in sub-rule (3) of rule 5; or

Safety Auditors recognized by DGFASLI with endorsement by the Directorate of Factories, Boilers, Industrial Safety and Health, Karnataka State (DFBISH) .

- (ix) "Schedule" means the Schedule appended to these rules;
- (x) "Section" means section of the Act.
- (xi) "Hazardous chemical" means any chemical as defined in sub-rule (a) of rule 2 of The Major Accident Hazards Control (Karnataka) Rules, 1994.
- (xii) "Institution" means a firm, association, body, corporate, society or a trust, whether registered in accordance with the law for the time being in force or not, and dealing mainly with the object of ensuring safety and health of workers, in the factories.
- (xiii) "Startup" means activity, establishment and Factories as listed in Karnataka Startup Policy, 2015-2020.

(2) Words or expressions used but not defined herein shall have their respective meanings as assigned to them in the Act or rules made there under.

3. Duty of the Occupier.- (1) The occupier shall arrange to carry out the safety audit to supplement the provisions of Chapter IV of the said Act as a measure for securing the safety of persons employed therein, in the following manner, namely:-

- (a) internally, once in a year by a team of Plant personnel;
- (b) externally, once in two years by the Safety Auditor,

Provided that, in the year, when an external audit is carried out, it shall not be necessary to carry out an internal audit:

Provided further that, in case of any changes, total or partial, in the manufacturing process, the occupier shall, within one month prior to such change, carry out the safety audit externally by the Safety Auditor.

(2) The Occupier who opt for the third party inspection may engage Auditor to verify and certify for the compliance of all the provisions of the Factories Act, 1948 for the non hazardous registered Factories.

(3) The Occupier of the startup factories may engage the Auditor to certify Building and machinery layout of non-hazardous factories.

4. Standards of Safety Audit.- The Safety Audit shall be carried out as per the standards laid down under IS 14489: 1998 in the Indian Standard Code of Practice on Occupational Safety and Health Audit or any such standards prevailing at the relevant time whichever is latest by the Safety Auditor or in case of an institution, by the person or employee possessing the qualification, experience and other requirements as set out in Schedule-I as a Safety Auditor.

5. Qualifications of Safety Auditor.- (1) The State Government may recognize any person possessing the qualifications, experience and other requirements as specified in the Schedule-I, as a Safety Auditor for the purpose of carrying out Safety Audit as provided in these rules:

(2) The State Government may recognize any institution, employing at least three persons possessing the qualifications, experience and other requirements as set out in the Schedule-I as a Safety Auditor for the purpose of carrying out Safety Audit as provided by these rules:

Provided that, where the institute to which such recognition has-been granted, ceases to employ at least three persons possessing the qualifications, experience and other requirements set out in the Schedule-I, the recognition granted to such institute shall stand cancelled:

Provided further that, State Government may for reasons to be recorded in writing, relax the requirements of qualification, if such institute is exceptionally specialized in the field of carrying out Safety Audit for not less than five years.

(3) An officer having working experience of not less than 15 years in the office of the DGFASLI or NSC or Directorate of Factories, Boilers, Industrial Safety and Health, Karnataka State (DFBISH) not below the rank of Group 'A' officer of factories shall be deemed to be qualified as Safety Auditor for carrying out Safety Audit under these rules.

(4) The State Government may from time to time fix the total number of such Safety Auditors to be appointed depending on the total quantum of work available in the State and also the manner in which applications are to be invited.

6. Grant or renewal of certificate of recognition Safety Auditor.- (1) An application for grant or renewal, of certificate of recognition as a Safety Auditor for carrying out safety audit shall be made to the Chief Inspector by an individual in Form A and by an institution in Form B, along with fee specified in Table below, namely:-

TABLE

Sl. No.	Class of Safety Auditor	Fee to be paid for grant or renewal of recognition(In ₹.)
1	Institution	50000/-
2	Individual	20000/-

xxx here specified the fee.

- (2) (a) On receipt of an application duly made in accordance with these rules, the Chief Inspector shall register such application and recommend it to the Government for its approval, after having satisfied itself as regards the competence and facilities available at the disposal of the applicant or recommend to the Government for rejecting the application, after specifying the reasons thereof within 30 days, from the date of application.
- (b) For giving an approval to the applicant as a Safety Auditor, the State Government may constitute a committee, if required, consisting of such members as it may deem fit, to advise it. The application shall be scrutinized by such committee and recommend it to the Government for its approval, after having satisfied itself as regards the competence and facilities available at the disposal of the applicant or recommend to the Government for rejecting specifying the reasons there in the application within 30 days, from the date of reference.
- (c) On receipt of the recommendation of the Chief Inspector with the consent of such committee, constituted under paragraph (b), the State Government may grant approval for recognition to the applicant as Safety Auditor or reject the application, after specifying the reasons thereof within 45 days.
- (d) After the State Government grants approval to the applicant as the Safety Auditor, the Chief Inspector shall issue a certificate of recognition in Form C, within 30 days subject to the following conditions and any other condition as may be specified by the State Government, namely:-
- (i) Safety Auditor shall maintain a log book of all safety audits undertaken by him indicating the name and address of the audited factory, name of the person who has carried out safety audit, contact persons, date of the audit and date of submission of the audit report to the Occupier.
 - (ii) It shall be scrutinized and approved by jurisdictional officers of the Directorate of Factories, Boilers, Industrial Safety and Health.
 - (iii) Safety Auditor and the person authorized to carry out shall not conduct a Safety Audit of any factory where such auditor or person is employed, or an occupier, partner, director, or manager of that factory, or of any factory owned, operated, managed, or conducted by immediate family members, relatives or extended family members or wherein that auditor or such person has any direct or indirect interest whatsoever. An auditor or such person shall not carry out the safety audit of those factories to which that auditor or such person supplies any plant, machinery, raw material, safety equipments or other materials or equipment.
 - (iv) Safety Auditor and the person authorized to carry out safety audit shall not disclose, even after ceasing to be a recognized auditor or employee of the institution, any manufacturing or commercial secrets or working processes or other confidential information which may come to his knowledge in the course of their duties as an auditor. Any failure in this regard, the auditor shall be liable revocation of recognition under sub-rule (6) and he shall not be eligible for recognition in future.
- (3) The recognition granted under sub-rule (2) shall be valid for two years from the date of issue of Certificate of Recognition.
- (4) The application for renewal of recognition as a safety auditor shall be made at least three months before the expiry of the period of recognition and the procedure stated in sub-rule (2) shall apply for its renewal.
- (5) The applicant shall not be eligible for renewal of recognition as a Safety Auditor if,-
- (i) the State Government has revoked such recognition in the past on two occasions; or
 - (ii) he has not carried out at-least three safety audits of factories in the past two years; or
 - (iii) charged the audit cost more than that prescribed by the Government;
 - (iv) he has disclosed the manufacturing or commercial secrets or working processes or other confidential information which may come to his knowledge in the course of his duties as an auditor.
- (6) The State Government may, after giving an opportunity to the Safety Auditor of being heard, revoke the certificate of recognition, if it has a reason to believe that,-
- (i) the Safety Auditor has violated any of the conditions stipulated in the certificate of recognition or renewal of recognition; or
 - (ii) the Safety Auditor has carried out the safety audit in violation of the provisions of the Act or these rules or has acted in a manner inconsistent with the intent or the purpose of the Act or rules made there under or has omitted or failed to act as required under the Act and rules made there under; or
 - (iii) for any other reason.

7. Fee to be paid to Safety Auditor.- Fees for the audit specified in Schedule II shall be charged by the recognized safety auditor, which shall also be reviewed once in every three years, with the notification from the Government. The Safety Auditor shall not charge the occupier fees more than that fixed by the State Government. In case the Auditor charges fees more than that fixed by the Government, the recognition of the auditor shall be liable for revocation.

8. Fee to be paid to Government.- The occupier shall pay the fee specified in Schedule III, Table A and B before commencement of Safety Audit. The occupier of the factory as well as the Safety Auditor shall inform the jurisdictional Inspector, fifteen

days in advance before the commencement of the safety audit in the factory in Form No.1, along with letterhead and prescribed fees as per Schedule-III and list of chemicals used, stored and handled in the factory with relevant documents. After verifying the application, the qualification and experience of Safety Auditor, the jurisdictional Inspector may issue a work order in writing for the commencement of safety audit with stipulated conditions and as per the Indian standards and code of practices. The work shall be commenced within one month from the date of issue of work order, failing which the work order stands deemed to be cancelled unless the said order is extended by the Inspector to the extent therein, and shall in no case exceed more than thirty days, on reviewing the reasons thereof, as submitted by the Safety Auditor on behalf of the Occupier of the factory.

9. Safety Audit Report.- The Safety Auditor shall forward to the occupier of the factory within one month from the date of completion of safety audit, in proforma prescribed under Schedule -IV on the letterhead of factory and his report with recommendations regarding improvement of the occupational safety and health in a factory.

Provided that if the auditor notices any hazard, which is likely to pose danger of causing an accident, during the safety audit, he shall immediately communicate the same in writing to the Occupier & the jurisdictional Inspector.

10. Compliance report on Audit Report.- The Occupier shall, within thirty days of the receipt of the Safety Audit report in the format prescribed under Schedule-IV, forward the same to the jurisdictional Inspector along with the action taken report in pursuance to the recommendations made in the Safety Audit Report. The Inspector shall send a proposal to the Chief Inspector for approval of Audit Report.

11. Re-audit.- On scrutiny of the Safety Audit Report, if it is found that the safety Audit is not carried out in accordance with rule 4, the Inspector may communicate the discrepancies to the occupier and Safety Auditor and shall direct the occupier to carry out re-audit only with respect to the discrepancies pointed out by him. Re-audit shall be completed within thirty days from the date of such direction. The provisions of rules 4, 8 and 9 shall apply to such re-audit.

12. Exemptions.- (1) Subject to the provisions of sub-rule (2), the State Government may, by order in writing, exempt any factory or category of factories from all or any of the provisions of these rules, subject to such conditions as it may specify in such order.

(2) No order under sub-rule (1) shall be issued unless, in the opinion of the State Government, the requirements of these rules, having regard to the frequency or the nature of manufacturing process carried out in that factory, which involves use, storage, handling or processing of hazardous chemicals or which involves generation of such substances, are impracticable or otherwise not necessary for the safety, health and protection of workers.

(3) Notwithstanding anything contained in sub-rule (1) and (2), the State Government may, in its discretion, by order, revoke the exemption granted under sub-rule (1), at any time.

By Order and in the name of the Governor of Karnataka,

G.M.SIDDARAJU,

Under Secretary to Government,

Labour Department,

(Factories and Boilers).

SCHEDULE I

(See rule 4 and 5)

The applicant, for being recognized as Safety Auditor, shall possess the following qualifications and experience, etc.:-

1. Academic Qualification and Experience.- The applicant shall hold, -

- (i) degree in branch of Chemical, Mechanical, Electrical or Production Engineering and having five years' of experience in manufacturing, maintenance, design, project or safety department in the supervisory or above capacity in factories; or
- (ii) diploma in branch of Chemical, Mechanical, Electrical, Production branch of Engineering and industrial safety from recognized institution and having seven years' of experience in manufacturing, maintenance, design, project or safety department in the supervisory or above capacity in factories; or
- (iii) degree of Bachelor of Science with Physics and/or Chemistry with diploma in industrial safety from recognized institution and having ten years' experience in, manufacturing or safety Department of any factory in the supervisory or above capacity in factories, and one year full time Diploma in Industrial Safety recognized by the Board of Technical Education or All India Council of Technical Education or recognized University; or Regional or Central labour Institute.
- (iv) degree or diploma in any branch of Engineering and having fifteen years of experience in Factory Inspectorate or Directorate of Industrial Safety and Health or fifteen years of experience in the DGFASLI or Regional Labour Institute or National Safety Council in the capacity of Senior Assistant Director or above.

2. The applicant shall not be directly or indirectly involved in the factory or in any process or business carried on therein or in any patent or machine connected therewith, in respect of which the safety audit is to be conducted.

3. If the age of applicant is more than 65 years, he shall submit a certificate of physical fitness for carrying out safety audit of factories issued by civil surgeon or certifying surgeon along with the application for recognition or renewal of recognition.

SCHEDULE II

(See rule 7)

Table A

(fees to be paid in rupees)

Sl. No	Workers Strength	Hazardous Industries	Major Accident Hazardous Industries	Dangerous operation Industries	General Industries
1	1-20	2000	3000	2000	NA
2	21-50	2000	3000	2000	
3	51-100	4000	6000	4000	
4	101-250	12000	12000	12000	6000
5	251-500	24000	24000	24000	12000
6	501-1000	48000	48000	48000	24000
7	Above 1000	64000	96000	96000	48000

Table B

(fees to be paid in rupees)

Sl No	Total storage capacity of hazardous chemicals in liters or tonnes	Hazardous Industries	Major Accident Hazardous Industries	Dangerous operation Industries	General Industries
1	1ltr to 100ltr	1000	1500	1000	1000
2	101 to 200ltr	2000	3000	2000	2000
3	201 to 500ltrs	3000	4500	3000	3000
4	500ltrs to 1000 liters	4000	6000	4000	4000
5	1000ltrs to 5t	8000	12000	8000	8000
6	5t to 10t	16000	24000	16000	16000
7	10t to 25t	20000	30000	20000	20000
8	25t to 50t	25000	37500	25000	25000
9	50t 100t	30000	45000	30000	30000
10	100t to 500t	40000	60000	40000	40000
11	500t to 1000t	50000	75000	50000	50000
12	1000t above	100000	150000	100000	100000

SCHEDULE III

(See rule 8)

Table A

(fees to be paid in rupees)

Sl No	Workers strength	Hazardous	Major hazardous	Dangerous operation	General industries
1	1-20	5000	10000	5000	-
2	21-50	10000	20000	10000	-
3	51-100	15000	30000	15000	-
4	101-250	20000	40000	20000	10000

SI No	Workers strength	Hazardous	Major hazardous	Dangerous operation	General industries
5	251-500	25000	50000	25000	20000
6	501-1000	30000	60000	30000	30000
7	Above 1000	50000	100000	50000	50000

Table B

Worker strength	Total storage capacity of hazardous chemicals in liters or tonnes	Hazardous Industries fee in rupees	Major Accident Hazardous industries fees in rupees	Dangerous operation fees in rupees	General industries fees in rupees
1	1ltr to 100ltr	5000	10000	5000	-
2	101 to 200ltr	10000	20000	10000	-
3	201 to 500ltrs	15000	30000	15000	-
4	500ltrs to 1000 liters	20000	40000	20000	10000
5	1000ltrs to 5t	25000	50000	25000	20000
6	5t to 10t	30000	60000	30000	30000
7	10t to 25t	40000	80000	40000	40000
8	25t to 50t	50000	100000	50000	50000
9	50t to 500t	60000	120000	60000	60000
10	500t to 1000t	100000	200000	100000	100000
11	1000t above	150000	300000	150000	150000

Form No.1

(See rule 8)

1. Name and address of the factory,
2. Do calling, Do address
3. Name of the Occupier,
4. Date of commencement of Audit,
5. Probable date of Completion
6. List of raw material with maximum storage quantity,
7. List of finished products with maximum storage quantity,
8. No. of workers working in the factory
9. Manufacturing process flow chart,
10. Qualification and experience and whether recognized from the department
11. P I Diagram of all plants (Chemical Factories),
12. Name of the Safety Auditor and Certificate No. and name of the person who has carried out safety audit,
13. Whether enclosed Safety Audit Report as per IS 14489, or any such standards prevailing at the relevant time, whichever is latest:

Date: Signature of Safety Auditor/Person or employee of an Institution authorized to carry out safety audit

I hereby undertake to submit the action taken report on Recommendations of Safety Audit on or before

Date:

Signature of the Occupier.

(Name of the Occupier in Block letters)

Work Order
(See rule 8)

Sl No.	Name of the Factory	Name of Occupier	Date of commencement	Name and Address of Auditor/ Certificate no.	Date of completion	Conditions	Remarks
1							
2	Chemical details			Mfg process			
3					Description of audit		
4	Contact Details	Workers Strength					
5							

Date:

Signature of Inspector

SCHEDULE IV

(See rule 9)

Proforma for Safety Audit Report

1. Name and address of the factory,
2. Name of the Occupier,
3. Date of Audit,
4. List of raw material with maximum storage quantity,
5. List of finished products with maximum storage quantity,
6. Manufacturing process flow chart,
7. P I Diagram of all plants (Chemical Factories),
8. Name of the Safety Auditor and Certificate No. and name of the person who has carried out safety audit,
9. Whether enclosed Safety Audit Report as per IS 14489, or any such standards prevailing at the relevant time, whichever is latest:

Date: Signature of Safety Auditor/Person or employee of an Institution authorized to carry out safety audit

I hereby undertake to submit the action taken report on Recommendations of Safety Audit on or before

Date:

Signature of the Occupier or Manager or Auditor.

Signature of Inspector

FORM- A

[See rule 6(1)]

Application Form For recognition or renewal of recognition of Safety Auditor

(to be filled in by individuals)(In Duplicate)

1. Name :

2. Father/Husband Name :

3. Date of Birth and Age :

4. Permanent Address :

5. Address for :

Correspondence

Telephone No. :

Mobile No. :

Fax :

E-mail :

6. Educational Qualification : (Attach Certified copies)

Sr.No/ Degree/Diploma College/Institution/University Year of completion

Applicant's

Latest

Photograph

signed

across.

7. Technical Qualification in Safety (Attach certified copies)

Sr.No/ Degree/Diploma College/Institution/University Year of completion

8. Work Experience (Attach certified copies)

Sr.No/ Employment Date/ Name and address of Employer

Designation/ Nature of work

From -To

9. For renewal of recognition.-

Certificate No. and date:

10. DECLARATION

I hereby declared that,

a) my recognition as a Safety Auditor was not revoked or cancelled by the State Government in the past;

b) my recognition as a Safety Auditor was revoked or cancelled in the past, and its details are as follows :-

Date of revocation or cancellation and its order number, if any Period

From- To

Note.- If the recognition was cancelled or revoked twice in the past, the Safety Auditor is not eligible for recognition.

c) I have carried out three or more than three, Safety Audits in the past two years, the list showing the name, address of the factory and date of audits are attached herewith.

d) I, _____ hereby declare that the information furnished above are correct to the best of my knowledge.

I undertake to:

- (i) maintain the facilities in good working order, and
- (ii) fulfill and abide by the conditions, if any, stipulated in the certificate of recognition.

Signature of the Applicant :

Full Name :

Date :

Place :

FORM – B

[See rule 6(1)]

Form of Application for recognition or renewal of recognition to an institution as Safety Auditor

1. Name and full address of the Institution:
2. Institution status (specify whether Government, autonomous, co-operative, corporate or private)with registration number:
3. a) Name of head of Institution
 - b) Phone/Mobile No.
 - c) E-Mail address
 - d) Fax
4. Whether the Institution has been declared as a Safety Auditor by this State or any other State? If so, give details.
5. Attach bio-data of at least three employed persons, in the Annexure attached to this application :
6. Any other relevant information
7. Certificate No. (in case of renewal)
8. DECLARATION

I hereby declare that,-

- (a) Recognition of the institution as Safety Auditor was not revoked or cancelled by the State Government in the past;
- (b) the recognition of the institution as Safety Auditor was revoked or cancelled in the past, its details are as follows :-

Date of revocation or cancellation and its order number, if any Period From To

Note.- If the recognition was cancelled or revoked twice in the past, the institution is not eligible for recognition.

- (c) The institution has carried out three or more than three Safety Audits in the past two years, the list showing the name, address of the factory and date of audits are attached herewith.
- (d) I, hereby declare that the persons whose bio-data it attached to the application are the employees of the institution whose copies of appointment letters are attached herewith.
- (e) I, _____ hereby declare that the information furnished above for _____ (name of the institution) is correct to the best of my knowledge. I undertake to,-
 - (i) notify to the Chief Inspector immediately, in case the employed person on the basis of which this recognition was procured when leaves the employment,
 - (ii) Maintain the facilities in good working order,
 - (iii) fulfill and abide by all the conditions stipulated in the certificate of recognition.

Signature of the Head of the Institution: _____

Designation:-----

Place: _____

Date: _____

Annexure to Form – B

Personal Information of the persons employed:

1. Name :
2. Father/Husband Name :
3. Date of Birth and Age :
4. Permanent Address :
5. Address for :

Correspondence

Telephone No. :

Mobile No. :

Fax :

E-mail :

6. Educational Qualification: (Attach Certified copies)

Sr.No/ Degree/Diploma College/Institution/University Year of completion

Latest Photograph signed across.

7. Technical Qualification in Safety (Attach certified copies)

Sr.No/ Degree/Diploma College/Institution/University /Year of completion

8. Work Experience (Attach certified copies)

Sr.No/ Employment Date Name and address of Employer

Designation/ Nature of

Work, From_____To_____

DECLARATION

I hereby declare that all information provided in this annexure is true and correct to the best of my knowledge. If recognized, I agree to abide by and uphold the high standard of professional ethics in discharge of my duties as a Safety Auditor.

Signature of the Applicant :

Full Name :

Date :

Place :

FORM – C

[See rule 6(2)(d)]

Certificate of recognition / renewal of recognition as a Safety Auditor.

CERTIFICATE NO. : MS/DISH/SA/...../20.....

It is to inform that **M/S. / SHRI / SMT.**, (address)

.....,has been Recognized / Renewed the recognition as a “**SAFETY AUDITOR**”, by the State Government, vide letter No. dated..... for the purpose of carrying out Safety Audit under Karnataka Factories (Safety Audit) Rules, 2016.

The Certificate is valid from to

This certificate is issued subject to the conditions stipulated hereunder:-

1. Safety audit shall be carried out in accordance with the provisions of Karnataka Factories (Safety Audit) Rules, 2016.
2. Every safety audit shall conform to the IS 14489:1998 or latest relevant standard.
3. He or the person authorized, in case of the institution, to carry out safety audit shall be physically present at the time of conducting the Safety Audit and shall maintain the record of the work done in the Log Book, as per Rule 6(2)(d)(i).
4. Certificate No. and validity period should invariably recorded on Safety Audit Report,
5. No safety audit shall be carried out after expiry of validity period.
6. The State Government reserves the right to revoke, annul or amend this Certificate at any time during its validity,

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ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರ, ಗುರುವಾರ, ಸೆಪ್ಟೆಂಬರ್ ೨೨, ೨೦೧೬

ಭಾಗ ೪ಎ

7. He or the person authorized, in case of the institution, to carry out safety audit shall not conduct a Safety Audit of any factory where such auditor is employed, or an occupier, partner, director or manager of that factory, or of any factory owned, operated, managed or conducted by immediate family members, relatives or extended family members or wherein that auditor or such person shall not carry out a safety audit of those factories to which that auditor supplies any plant, machinery, raw material, safety equipments or other materials, equipment.
8. He or the person authorized, in case of the institution, to carry out safety audit shall not disclose, even after ceasing to be a recognized Safety Auditor of the employee of the institution, any manufacturing or commercial secrets or working processes or other confidential information which may come to his knowledge in the course of their duties as an auditor. Any failure in this regard may make such auditor or person liable for criminal or civil Proceedings, in accordance with the law for the time being in force.
9. The application for renewal of the recognition as a Safety Auditor shall be made at least three months before the expiry of the period of recognition.

No. DISH/EXEM/SA/ /1,

Directorate of Factories, Boilers, Industrial Safety and Health

Karmika Bhavan, 2nd Floor, Bannerughatta Road,

Near Dairy Circle, Bengaluru - 29.

Date :

PR-768

SC-50

G.M. SIDDARAJU

Under Secretary to Government,

Labour Department

(Factories and Boilers)